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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

6

Application Number

10/627,091

Filing Date

07/25/2003

First Named Inventor

James B. Crews

Art Unit

Examiner Name

Attorney Docket Number

304-25098-USCP

ENCLOSURES (Check all that apply)

- | | | |
|--|---|---|
| <input type="checkbox"/> Fee Transmittal Form | <input type="checkbox"/> Drawing(s) | <input type="checkbox"/> After Allowance communication to Technology Center (TC) |
| <input type="checkbox"/> Fee Attached | <input type="checkbox"/> Licensing-related Papers | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences |
| <input type="checkbox"/> Amendment/Reply | <input type="checkbox"/> Petition | <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final | <input type="checkbox"/> Petition to Convert to a Provisional Application | <input type="checkbox"/> Proprietary Information |
| <input type="checkbox"/> Affidavits/declaration(s) | <input type="checkbox"/> Power of Attorney, Revocation | <input type="checkbox"/> Status Letter |
| <input type="checkbox"/> Extension of Time Request | <input type="checkbox"/> Change of Correspondence Address | <input type="checkbox"/> Other Enclosure(s) (please identify below): |
| <input type="checkbox"/> Express Abandonment Request | <input type="checkbox"/> Terminal Disclaimer | |
| <input checked="" type="checkbox"/> Information Disclosure Statement | <input type="checkbox"/> Request for Refund | |
| <input type="checkbox"/> Certified Copy of Priority Document(s) | <input type="checkbox"/> CD, Number of CD(s) _____ | |
| <input type="checkbox"/> Response to Missing Parts/Incomplete Application | | |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 | | |

Remarks

Transmittal of Supplemental IDS (2 pp.), Certification for Supplemental IDS (2 pp.), Return postcard

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name

David L. Mossman

Signature

Date

November 19, 2003

CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.

Typed or printed name

David L. Mossman

Signature

Date

November 19, 2003

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : PATENT
JAMES B. CREWS :
Serial No.: 10/627,091 : Group Art Unit:
Filed: July 25, 2003 : Examiner:
For: POLYOLS FOR BREAKING OF : Docket No.: 304-25098-USCP
FRACTURING FLUID : Date: November 19, 2003

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE
STATEMENT BEFORE MAILING DATE OF EITHER A FINAL
ACTION OR NOTICE OF ALLOWANCE — 37 CFR §1.97(c)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Time of Transmittal of Accompanying Supplemental Information Disclosure
Statement

The Supplemental Information Disclosure Statement transmitted herewith is being filed *after* three months of the filing date of this national application or the date of entry of the national stage as set forth in §1.491 in an international application or after the mailing date of the first Office Action on the merits, whichever event occurred last but *before* the mailing date of either:

- (1) a final Action under §1.113 or
- (2) a Notice of Allowance under §1.311, whichever occurs first.

2. Certification, Petition and Fee

Accompanying this transmittal is:

- ☒ A. a certification as specified in 37 CFR §1.97(e).
☐ B. the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c). (\$180.00).

3. Fee Payment

☐ Applicant elects the option to pay the fee set forth in 37 CFR §1.17(p) for submission of an Information Disclosure Statement under §1.97(c) (\$180.00).

Fee due: \$180.00.

4. Method of Payment of Fee

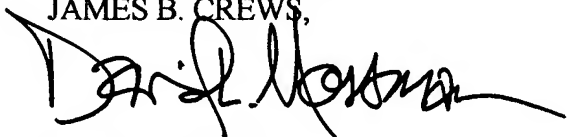
☐ Attached is a check for \$_____.

☐ Please charge Account 02-0429 \$180.00. If any additional petition fees are due for this item only, please charge Account 02-0429.

5. Miscellaneous

☐ In an abundance of caution, a copy of the _____ is being provided.

Respectfully submitted,
JAMES B. CREWS,


David L. Mossman
Registration No. 29,570
Attorney for Applicant

Madan, Mossman & Sriram, P.C.
2603 Augusta, Suite 700
Houston, Texas 77057-5638

Phone: 512/219-4026
Facsimile: 512/219-4036

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NOVEMBER 19, 2003
Date of Deposit


David L. Mossman

11.19.2003
Date



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: : PATENT
JAMES B. CREWS :
Serial No.: 10/627,091 : Group Art Unit:
Filed: July 25, 2003 : Examiner:
For: POLYOLS FOR BREAKING OF : Docket No.: 304-25098-USCP
FRACTURING FLUID : Date: November 19, 2003

CERTIFICATION FOR SUPPLEMENTAL INFORMATION
DISCLOSURE STATEMENT UNDER 37 CFR §1.97(e)

Mail Stop Non-Fee Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, Virginia 22313-1450

Sir:

1. Identification of Information Disclosure Statement for which this Certification is Being Made

This certification is being made for the Supplemental Information Disclosure
☒ Statement accompanying this certification;
☐ filed: _____.

2. Certification

- I, the person signing below, certify:
- ☐ that each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Statement. 37 CFR §1.97(e)(1).
- ☒ that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application. 37 CFR §1.97(e)(2), or that no item of information contained in the Information Disclosure Statement, to the knowledge of the person signing the certification after making reasonable inquiry, was known to any individual designated in §1.56(c) more than three months prior to the filing of the statement. 37 CFR §1.97(e)(2).

3. Identification of Person Making This Certification

The person making this certification is:

☐ The inventor who signs below.

Signature

Typed or printed name

☐ A person who is substantially involved in the preparation or prosecution of the application, and who is associated with the inventor, with the assignee, or with anyone to whom there is an obligation to assign the application (37 CFR §1.56(c)) and who signs below:

Signature

Typed or printed name

Address

Relationship

- ☒ The attorney who signs below on the basis of:
- | | |
|-------------------------------------|--|
| <input checked="" type="checkbox"/> | the information supplied by the inventor. |
| <input type="checkbox"/> | the information supplied by an individual designated in §1.56(c). |
| <input type="checkbox"/> | the information in the attorney's file. |
| <input type="checkbox"/> | information found by the attorney while conducting research on another matter. |

Respectfully submitted,

JAMES B. CREWS,


David L. Mossman

Registration No. 29,570

Attorney for Applicant

Telephone No. 512/219-4026

Facsimile No. 512/219-4036

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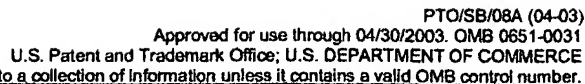
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NOVEMBER 19, 2003

Date of Deposit


David L. Mossman

11-19-2003
Date



C mplete if Known

(Use as many sheets as necessary)

Sheet 1

of 1

Application Number	10/627,091
Filing Date	07-25-2003
First Named Inventor	James B. Crews
Art Unit	
Examiner Name	
Attorney Docket Number	304-25098-USCP

[illegible][illegible]

**Examiner
Signature**

Date
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹ Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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